

## MONITORING REPORT Q3 2019/20 - AUDITS FINALISED

| Head of Service                     | Audit Title                            | Date Finalised | Assurance Level | Recommendations |        |            |
|-------------------------------------|--|----------------|-----------------|-----------------|--------|------------|
|                                     |  |                |                 | Made            | Agreed | Not Agreed |
| Financial Services & Service Centre | Fairer Charging (Non Residential Care) | 23/10/19       | High            | 2               | 2      | 0          |
| Education Planning & Resources      | Pontarddulais Primary School           | 23/10/19       | High            | 8               | 8      | 0          |
| Chief Transformation Officer Audits | Welsh Translation Unit                 | 28/10/19       | High            | 7               | 7      | 0          |
| Financial Services & Service Centre | Teachers Pensions 2019/20              | 29/10/19       | High            | 0               | 0      | 0          |
| Chief Transformation Officer Audits | Digital Strategy                       | 30/10/19       | High            | 2               | 2      | 0          |
| Housing & Public Health             | Tenancy Support Unit                   | 11/11/19       | High            | 3               | 3      | 0          |
| Communications & Marketing          | Communications & PR Team               | 21/11/19       | High            | 6               | 6      | 0          |
| Highways & Transportation           | Road Safety                            | 02/12/19       | High            | 5               | 5      | 0          |
| Housing & Public Health             | Gorseinon District Housing Office      | 05/12/19       | High            | 10              | 10     | 0          |
| Education Planning & Resources      | Pentrechwyth Primary School            | 18/12/19       | High            | 10              | 10     | 0          |
| Communications & Marketing          | Tourism & Marketing                    | 02/10/19       | Substantial     | 7               | 7      | 0          |
| Education Planning & Resources      | St Davids RCT Primary School           | 03/10/19       | Substantial     | 16              | 16     | 0          |
| Education Planning & Resources      | Swansea Pupil Referral Units           | 03/10/19       | Substantial     | 15              | 15     | 0          |
| Housing & Public Health             | Home Improvement Team                  | 04/10/19       | Substantial     | 5               | 5      | 0          |
| Education Planning & Resources      | Cadle Primary School                   | 15/10/19       | Substantial     | 8               | 8      | 0          |
| Education Planning & Resources      | Pen y Fro Primary School               | 16/10/19       | Substantial     | 10              | 10     | 0          |
| Education Planning & Resources      | St Joseph's Cathedral Primary          | 21/10/19       | Substantial     | 12              | 12     | 0          |
| Education Planning & Resources      | Clwyd Primary School                   | 22/10/19       | Substantial     | 7               | 7      | 0          |
| Education Planning & Resources      | Pennard Primary School                 | 28/10/19       | Substantial     | 14              | 14     | 0          |
| Education Planning & Resources      | Glyncollen Primary School              | 28/10/19       | Substantial     | 6               | 6      | 0          |
| Child & Family Services             | Discretionary Payments                 | 07/11/19       | Substantial     | 23              | 23     | 0          |
| Chief Transformation Officer Audits | Risk Management (Place)                | 07/11/19       | Substantial     | 4               | 4      | 0          |
| Highways & Transportation           | Clydach Stores Depot                   | 08/11/19       | Substantial     | 7               | 7      | 0          |
| Education Planning & Resources      | Olchfa Comprehensive School            | 13/11/19       | Substantial     | 18              | 18     | 0          |
| Education Planning & Resources      | Waun Wen Primary School                | 15/11/19       | Substantial     | 4               | 4      | 0          |
| Cross Cutting Audits                | Ethics & Values                        | 18/11/19       | Substantial     | 106             | 106    | 0          |
| Education Planning & Resources      | Pengelli Primary School                | 20/11/19       | Substantial     | 12              | 12     | 0          |
| Education Planning & Resources      | Morrison Comprehensive School          | 25/11/19       | Substantial     | 19              | 19     | 0          |
| Highways & Transportation           | Advance Payments Code                  | 10/12/19       | Substantial     | 2               | 2      | 0          |
| Education Planning & Resources      | Grange Primary School                  | 11/12/19       | Substantial     | 4               | 4      | 0          |
| Child & Family Services             | Taxi Authorisations                    | 13/12/19       | Substantial     | 7               | 7      | 0          |
| Education Planning & Resources      | Ysgol Gyfun Gwyr                       | 18/12/19       | Substantial     | 12              | 12     | 0          |
| Education Planning & Resources      | Hafod Primary School                   | 19/12/19       | Substantial     | 10              | 10     | 0          |

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| Education Planning & Resources      | Bishop Vaughan Catholic Comprehensive School | 19/12/19 | Substantial | 14           | 14         | 0          |
| Education Planning & Resources      | Gwyrosydd Primary School                     | 08/11/19 | Moderate    | 23           | 23         | 0          |
| Education Planning & Resources      | Pen y Bryn Special School                    | 11/11/19 | Moderate    | 26           | 25         | 1          |
| Building Services                   | Heol y Gors Depot - Plant                    | 20/11/19 | Moderate    | 14           | 14         | 0          |
| Highways & Transportation           | Fleet Maintenance                            | 22/11/19 | Moderate    | 15           | 14         | 1          |
| Chief Transformation Officer Audits | Employment of Agency Staff                   | 17/12/19 | Moderate    | 9            | 9          | 0          |
|                                     |  |          |             | <b>Total</b> | <b>482</b> | <b>480</b> |
|                                     |  |          |             |              |            | <b>2</b>   |